



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In application of

Docket No: Q63988

Izumu SAITO, et al.

Appln. No. 09/807,223

Group Art Unit: 1642

Confirmation No.: 5950

Examiner: Unknown

Filed: April 11, 2001

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For: RECOMBINASE-EXPRESSING CELLS

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

1. World Patent No. 92/15694, published September 17, 1992.
2. B. Sauer et al., "Site-specific DNA recombination in mammalian cells by the Cre Recombinase of bacteriophage P1", Proceedings of the Natural Academy of Sciences of USA, Vol. 85, No. 14, July 1, 1998 with pp. 5166-5170 with Abstract.
3. World Patent No. 97/32481, published September 12, 1997.
4. Y. Kanegae et al., "Efficient gene activation system on mammalian cell chromosomes using recombinant adenovirus producing Cre recombinase", Gene, Vol. 181, No. 1-2, (1996), pp. 207-212 with Abstract.

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5. N. J. Kilby et al., "Site-specific recombinases: tools for genome engineering", Trends in Genetics, Elsevier Science Publishers B.V., Vol. 9, No. 12, (December 1, 1993), pp. 413-421.

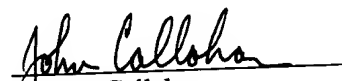
The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

Applicant encloses herewith a copy of a corresponding partial Foreign Search Report citing such documents and indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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